FOURTH AARHUS WORKSHOP

European Implementation of the Aarhus Convention

Access to Justice & Public Participation

24 March 2021 11.00 to 14.30 (CET)





This workshop is financially supported by





Workshop Team



Dr Maria Alexandra de Sousa Aragão

Speaker & Panellist



Larissa Donges

Moderation



Kathleen Pauleweit

Moderation



Chantal Brunotte

Technical Support (ENG; GER; PT)

+49 (0)30 – 4284 993 31 chantal.brunotte@ufu.de



About UfU

- NGO and scientific institute
- Offices in Berlin & Halle (Saale)
- around 40 employees, four departments
- Regional, national, EU & international projects
- Further information: https://www.ufu.de/en/

Energy
Efficiency &
Energy
Transition

Climate
Protection &
Transformative
Education

Nature
Protection &
Environmental
Communication

Environmental Law & Participation



Welcome Remarks

Dr Michael Zschiesche, Managing Director and Chairman of the Board, Head of the Department Environmental Law & Participation of the Independent Institute for Environmental Issues – UfU e.V.



Agenda

11.15	Insights Portuguese Presidency of the EU Council
11.20	Presentation 1
	Updates on the Amendment to the Aarhus Regulation
11.50	Break
12.00	Presentation 2
	How can citizens & NGOs participate in European Environmental Decision-making?
12.30	Panel Discussion
	How Can Electronic Public Participation in European Environmental Decision-making Be Strengthened?
13.25	Break
13.30 to	Open Discussion
13.55	Strengthened E-Participation in European Environmental Decision-making
	- Where & How to Complain?
13.55	The Way Forward
14.00	Time for Additional Questions & Answers & Informal Exchange



European Implementation of the Aarhus Convention in the Digital Age (EU-AarKo)

Information, Awareness & Education Dialogue & Positioning Process

Outreach & Networking

- Duration: 4/2020 12/2021
- Further information:
- https://www.ufu.de/en/proje kt/eu-aarko/



Portuguese Presidency of the EU Council

Dr Catarina Grilo, Director of Conservation and Policy at ANP, Portugal in association with WWF



Updates on the Amendment to the Aarhus Regulation

Sebastian Bechtel, LLM, Environmental Democracy Lawyer at ClientEarth Brussels





What is internal review?

General idea (Art. 10):

- EU institution or body adopts an administrative act or should have adopted such an act
- Within 6 weeks, NGO can allege that act/omission contravenes environmental law + request internal review

=>

- 1. Positive decision: Act is changed / altered / omission remedied
- Negative decision: NGO is addressee, can challenge the decision before the EU General Court (Art 263 TFEU)

Why is the amendment important?

Only route to challenge acts / omissions of EU institutions that violate EU environmental law

- No direct CJEU access for NGOs (Art. 263 TFEU)
- Preliminary rulings hard to obtain, practical challenges (Art. 267 TFEU)

BUT restrictive definition of what is an "administrative act / omission"

• Almost only applies to authorization of certain substances



14 Oct 20: Commission Proposal

Positive aspects:

- Deletion: "individual scope";
- Deletion: "under environmental law"
- Extended time limits



12 Feb 21: ACCC Advice

- Aarhus Convention Compliance Committee found in 2017 that Aarhus Regulation is insufficient to ensure access to justice as required by the Convention
- EU blocked adoption of findings at Meeting of the Parties but Council still requested Commission to act
- EU requested advice on the adequacy of the legislative proposal



Our demands vs the advice



In the ACCC advice:

- Remove exclusion: national implementing measures
- Remove exclusion: Commission state aid decisions
- 3. Clarify: "binding effects"
- 4. Expand standing to individuals

Our additional points:

- Remove exclusion: EU implementing measures
- Clarify: "external effects" & "because of their effects"
- Introduce: Cost regulation
- Introduce: Expand scope of review
- · Recitals...

State of Play - Parliament

- JURI Committee Opinion: Voted on 17/18 March outcome close to Commission proposal
- ENVI Committee Report:
 - Draft report (Doleschal, EPP) does not respect advice
 - Amendments on the core issues from EPP, RENEW, S&D, Greens, GUE
 - Vote on 15 April 2021 will probably be moved
- European Parliament plenary vote planned for week of 17 May will probably be moved

State of Play - Council

- General Approach adopted at December ENVI Council (before advice / consideration of PP)
- Progressive alliance in favour of incorporating advice but nothing sure
- MS await Legal Service Opinion (week of 22 Mar) delayed
- Working Group Party meeting (31 Mar) moved



Public participation

3 periods:

- Feb 19 = Milieu Study (+ meeting)
- Apr 20 = Inception IA
- Dec 20 = 2nd PP after proposal



Impact?

3 periods:

- Feb 19 = Milieu Study (+ meeting)
- Apr 20 = Inception IA
- Dec 20 = 2nd PP after proposal



Questions

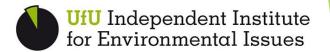
Updates on the Amendment to the Aarhus Regulation



How can citizens and NGOs participate in European environmental decision-making?

Dr Maria Alexandra de Sousa Aragão, Professor at University of Coimbra





How can citizens & NGOs participate in European environmental decision-making?

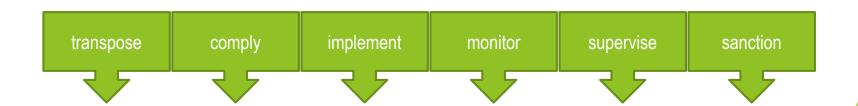


In many ways!

- Alexandra Aragão -

EU environmental law is a 'joint venture'





Who? Where? What for?

Start Level Purpose

Who triggers participation?

Starting participation

Reactive participation
Invited



Welcome to Have your say

Citizens and businesses can share their views on new EU policies and existing laws.

Log in / Register to contribute

In order to contribute you'll need to register or login using your existing social media account.

Log in

Register

Pro active participation

Own initiative



EUROPEAN CITIZENS' INITIATIVE

Home How it works v Spread the word v News

Get a greater say in the policies that affect your lives. The European Citizens' Initiative is a unique way for you to help shape the EU by calling on the European Commission to propose new laws. Once an initiative has reached 1 million signatures, the Commission will decide on what action to take.

See how it works step by step

Where to participate?

Level of participation



in the national system



in the international system

PROVEDOR DE Na defesa dos cidadãos	JUSTIÇA
1 - Dados do(a) Reclamant	e
Nome Completo:	
Endereço de Correio Eletrónico	0: +
Número de Identificação Fiscal	l:
	Não é obrigatório. Todavia, se a queixa se refere a assunto
Endereço:	
Cidade/Localidade:	
Código Postal:	
País:	Portugal
Telefone:	+
Telemóvel:	+
Fax:	+

	Sour complaint login • Contact EN English			
European Ombud	sman	Search Q		
About ❤ What we do ❤ How to make	a complaint 🗸 Newsroom 🕻	v		
You have a complaint against an EU institution or	body?	MAKE A COMPLAINT		
Home > Make a complaint				
HOW TO MAKE A COMPLAINT	Guide to com	ıplaints		
How can the Ombudsman help?	You can submit a complaint to the European Ombudsman online , by post or by fax .			
Checklist for a complaint	Submit your compl	laint online		
	Online complaints can be subm	line complaints can be submitted in two easy steps:		
How do we deal with complaints?	1 Check the criteria	Go through our <u>checklist for making a complaint</u> and make sure that the European Ombudsman can investigate your complaint		
Make a complaint	- 2 Create an account	Creating an account will allow you to submit a complaint, to continue drafting a		
Who else can help you?	2 Create an account	complaint you have not already submitted or to check the status of your complaint		





Participate. What for?

The purpose of participation

The desired effect:

Push



EUROPEAN CITIZENS' INITIATIVE

Home How it works v Spread the word v News

Get a greater say in the policies that affect your lives. The European Citizens' Initiative is a unique way for you to help shape the EU by calling on the European Commission to propose new laws. Once an initiative has reached 1 million signatures, the Commission will decide on what action to take.

See how it works step by step

Block

JUDGMENT OF THE COURT (Grand Chamber)

19 December 2019 (*1)

(Appeal — Institutional law — Citizens' initiative 'One of us' — Communication from the European Commission setting out its conclusions and the reasons for not taking the action requested in the citizens' initiative)

In Case C-418/18 P,

APPEAL under Article 56 of the Statute of the Court of Justice of the European Union, brought on 22 June 2018,

Patrick Grégor Puppinck, residing in Strasbourg (France),

Filippo Vari, residing in Rome (Italy),

Josephine Quintavalle, residing in London (United Kingdom),

Edith Frivaldszky, residing in Tata (Hungary),

Jakub Baltroszewicz, residing in Cracow (Poland),

Alicia Latorre Canizares, residing in Cuenca (Spain),

Manfred Liebner, residing in Zeitlofs (Germany),

represented by R. Kiska, Solicitor, and P. Diamond, Barrister,

appellants,

the other parties to the proceedings being:

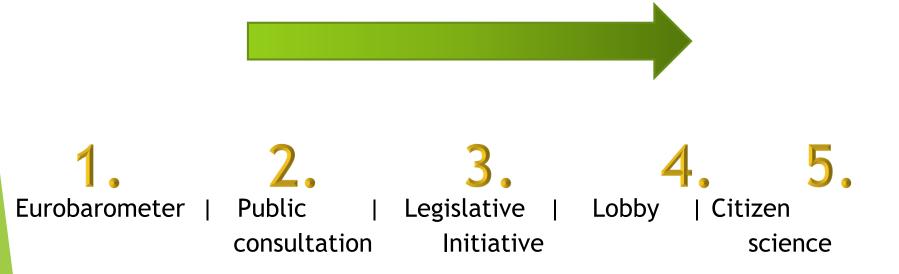
European Citizens' Initiative One of Us,

applicant at first instance,

European Commission, represented by H. Krämer, acting as Agent,

Participation opportunities for citizens and NGOs

From passive to pro-active





1. Answering EU wide surveys

EUROBAROMETER Public Opinion



The qualitative studies investigate in-depth the motivations, feelings and reactions of selected social groups towards a

the source is acknowledged.



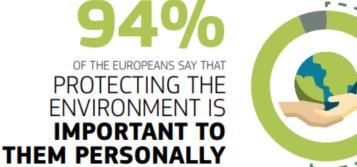
Special Eurobarometer 501

https://ec.europa.eu/commfrontoffice/publicopinion/index.cfm/Survey/getSurveyDetail/instruments/SPECIAL/surveyKy/2257



Survey requested by the European Commission, Directorate-General for Environment







agree that environmental issues

78% agree that environmental issues have a direct effect on their daily life and their health





CLIMATE CHANGE IS PERCEIVED BY EUROPEANS AS A

VERY SERIOUS PROBLEM IN THEIR COUNTRY (76%) AND IN THE EU (77%)

Respondents consider the most important environmental issues to be









SEVEN IN TEN EUROPEANS THINK THAT
ENVIRONMENTAL DECISIONS SHOULD BE
TAKEN JOINTLY WITHIN THE EU

WHAT ARE THE MOST EFFECTIVE WAYS OF TACKLING ENVIRONMENTAL PROBLEMS

ACCORDING TO EUROPEANS?









Just over a fifth of the respondents (21%) say they have taken seven or more environmentally friendly actions in the past six months



Separated most of their waste for recycling



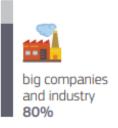
Avoided single-use plastic goods other than plastic bags or bought reusable plastic products

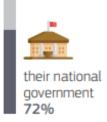


Bought local products

EUROPEANS WANT **MORE TO BE DONE**TO PROTECT THE ENVIRONMENT

The majority think that **not enough is being done** by:







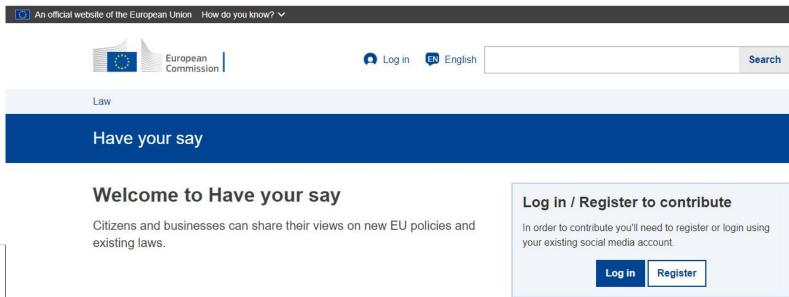




2. Feedback during online public consultation



https://ec.europa.eu/info/law/better-regulation/have-your-say



Highlights

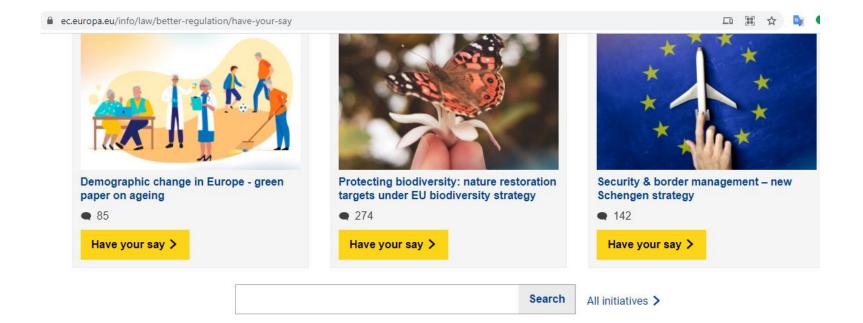
EUROPA

EUROPEAN UNION









Closing soon

Reducing disparities in the EU – 8th report on economic, social and territorial cohesion	In a day
Trans-European energy infrastructure – revision of guidelines	In a day
<u>Vehicle safety – technical rules & test procedures for advanced safety features</u>	In a day
Europe's digital decade – 2030 digital targets	In 2 days
EU farm and food products – review of policy on promotion inside and outside the EU	In 2 days

Protecting biodiversity: nature restoration targets under EU biodiversity strategy

Have your say > Published initiatives > Protecting biodiversity: nature restoration targets under EU biodiversity strategy

In preparation

Roadmap

Feedback period

04 November 2020 - 02

December 2020

FEEDBACK: CLOSED

Public consultation

Feedback period

11 January 2021 - 05 April 2021

FEEDBACK: OPEN

UPCOMING

Commission adoption

About this initiative

Summary Biodiversity, on which we all depend, is disappearing at an unprecedented rate. This initiative is one

of the key measures announced in the EU's 2030 Biodiversity Strategy. It will propose legally binding nature restoration targets, subject to an impact assessment. The initiative will contribute to the goal of the 2030 Biodiversity Strategy: to put Europe's biodiversity on the path to recovery.

Topic Environment

Type of act Proposal for a regulation

Category Commission Work Programme

Roadmap

FEEDBACK: CLOSED

Type

Inception impact assessment

More about roadmaps

Public consultation

FEEDBACK: OPEN

Feedback period

11 January 2021 - 05 April 2021 (midnight Brussels time)

The Commission would like to hear your views.

Through public consultations you can express your views on aspects of EU laws and policies before the Commission finalises its proposals.

Go to consultation >

Commission adoption

FEEDBACK: UPCOMING

Type

Proposal for a regulation

More about adopted acts

Planned for

Fourth quarter 2021

Subscribe to receive notifications

Feedback (132)

02 December 2020 | Non-governmental organisation (NGO)

FOTA4Climate (Poland)

We appreciate and support the ambitious goals of the Strategy, particularly: 1)Protection of natural and old growth forests. Designation of old and natural forest criteria, should be implemented according to scientific definitions, in consultations with scientists and NGOs; 2) Effective protection of European wetlands. Restoration should be understood as a abandoning the drainage of the marshes and peatland sites. Additional goals should be...

02 December 2020 | EU citizen

Hermann Edelmann (Germany)

Nature restoration is - as well as nature destruction - not only a physical act, but reflects a societies state of mind and relation to life in general. Successful restoration would have to consider that almost all spheres of life and ecosystems - unfortunately nowadays - are man made or destroyed/degraded by human activities ... and that restoration is fixing earlier human missbehaving and ought to heal wrong mindsets as well. means: with...

02 December 2020 | Academic/research Institution

The University of Sheffield (Romania)

The recently published State of the Environment Report 2020 shows that there was limited progress from the 2010 baseline towards the 2020 targets. The EU Biodiversity Strategy to 2020 failed to deliver on the target to restore 15 % of degraded ecosystems. Continued deterioration for some habitats and species outweighs the improvements. That being said, attempting to make restoration targets binding for Member States would probably be a project...

ALL FEEDBACK (132)

Public consultation on EU biodiversity policy initiatives

Evaluation of the EU Biodiversity Strategy to 2020, review of the application of the EU Regulation on Invasive Alien Species, and development of binding EU nature restoration targets for 2030

Fields marked with * are mandatory.

Introduction

The Commission is carrying out a public consultation to inform several key biodiversity initiatives:

- The evaluation of the <u>EU Biodiversity Strategy to 2020</u> (2011-2020),
- The review of the application of the <u>EU Regulation on Invasive Alien Species</u>,
- The development of legally binding EU nature restoration targets: a key commitment of the <u>EU</u> Biodiversity Strategy for 2030.

This consultation covers three distinct surveys: one under each of these headings.

1. The 2020 Biodiversity Strategy set six targets, which together should have enabled the EU to halt and reverse the loss of biodiversity and ecosystem services. How familiar are you with these targets?

	Very familiar	Moderately familiar	Slightly familiar	Not at all familiar
Target 1. Fully implement the EU Birds and Habitats <u>Directives</u>	0	0	0	0
Target 2. Maintain and restore ecosystems and their services	0	0	0	0
Target 3. Increase the contribution of agriculture and forestry to maintaining and enhancing biodiversity	0	0	0	0

10

Target 4. Ensure the sustainable use of fisheries resources and marine ecosystems	0	0	0	0
Target 5. Combat invasive alien species	0	0	0	0
Target 6. Help avert global biodiversity loss	0	0	0	0

2. To what extent has the EU met the objective of halting biodiversity loss and the degradation of ecosystem services in the EU by 2020, and restoring
them as far as feasible?
Fully
Partially
Poorly
Not at all

I don't know / no opinion

3. To what extent has the EU achieved the following targets?

	Fully	Partially	Poorly	Not at all	I don't know / no opinion
Target 1. Fully implement the EU Birds and Habitats <u>Directives</u>	0	0	0	0	0
Target 2. Maintain and restore ecosystems and their services	0	0	0	0	0
Target 3. Increase the contribution of agriculture and forestry to maintaining and enhancing biodiversity	0	0	0	0	0
Target 4. Ensure the sustainable use of fisheries resources and marine ecosystems	0	0	0	0	0
Target 5. Combat invasive alien species	0	0	0	0	0
Target 6. Help avert global biodiversity loss	0	0	0	0	0

3a.	Please highlight significant achievements of the strategy and related
suc	cess factors :
250	character(s) maximum
3b.	Please highlight significant gaps in the implementation of the strategy
and	reasons for failure:
250	character(s) maximum
_	
-	
4.	Have you identified, since 2011, significant impacts on your sector, field
	Have you identified, since 2011, significant impacts on your sector, field
of a	ctivity or living area that have resulted from the implementation of the
of a	ctivity or living area that have resulted from the implementation of the tegy?
of a	ctivity or living area that have resulted from the implementation of the
of a	ctivity or living area that have resulted from the implementation of the tegy?
of a	ctivity or living area that have resulted from the implementation of the tegy? Yes – positive No
of a	ctivity or living area that have resulted from the implementation of the tegy? Yes – positive

Please elaborate

7.3.	Designate certain restored ecosystems as protected areas
	Very important
	Somewhat important
	Not at all important
	I don't know / no opinion
7.4. <i>300</i>	Other - please specify character(s) maximum
•	1- 4b
8.	Is there anything else you would like to add? O character(s) maximum
7000	o character(s) maximum
You	may attach relevant supporting documents to this questionnaire.

THANK YOU FOR RESPONDING TO THIS QUESTIONNAIRE

Only files of the type pdf,txt,doc,docx,odt,rtf are allowed

The maximum file size is 10 MB



3. Legislative initiatives

How it works

Home

How it works v

Spread the word v

News

PAGE CONTENTS

Step 1: Get started

Step 2: Get your initiative registered

Step 3: Get support

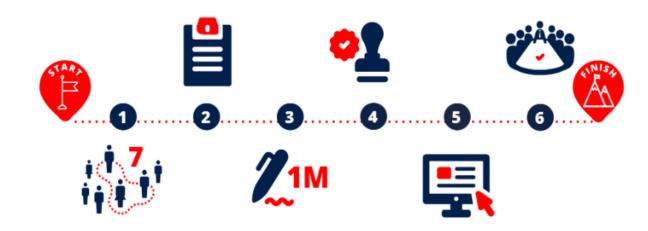
Step 4: Get statements of

support verified

Step 5: Submit your initiative

Step 6: Get an answer

What next?



https://europa.eu/citizens-initiative/















Minority SafePack – Um milhão de assinaturas pela diversidade na Europa

- 1.123.422 apoiantes
- A recolha de assinaturas está encerrada



Proibição do glifosato e proteção das pessoas e do ambiente contra pesticidas tóxicos

- 1.070.865 apoiantes
- A recolha de assinaturas está encerrada



Stop Vivisection

- 1.173.130 apoiantes
- A recolha de assinaturas está encerrada



Um de Nós

- 1.721.626 apoiantes
- A recolha de assinaturas está encerrada



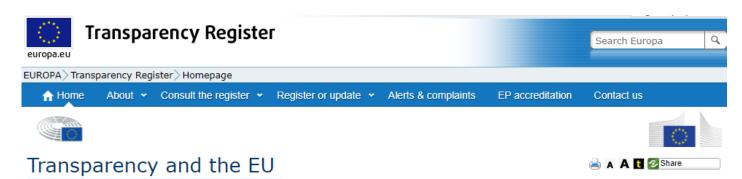
A água e o saneamento são um direito humano! A água é um bem público, não uma mercadoria!

1.659.543 apoiantes





4. Environmental lobbying

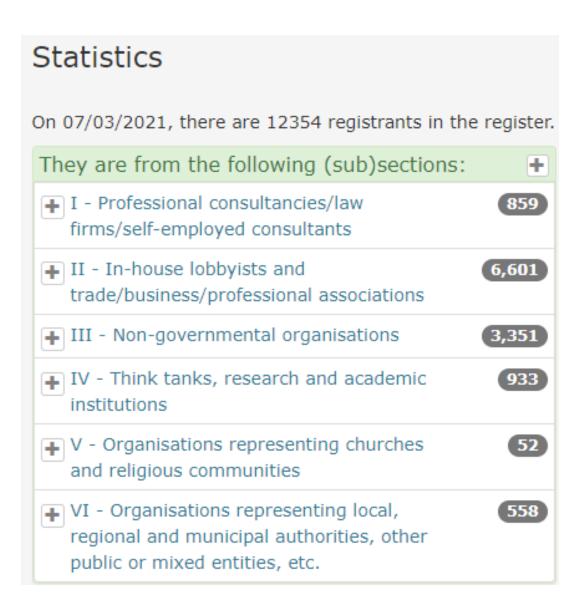


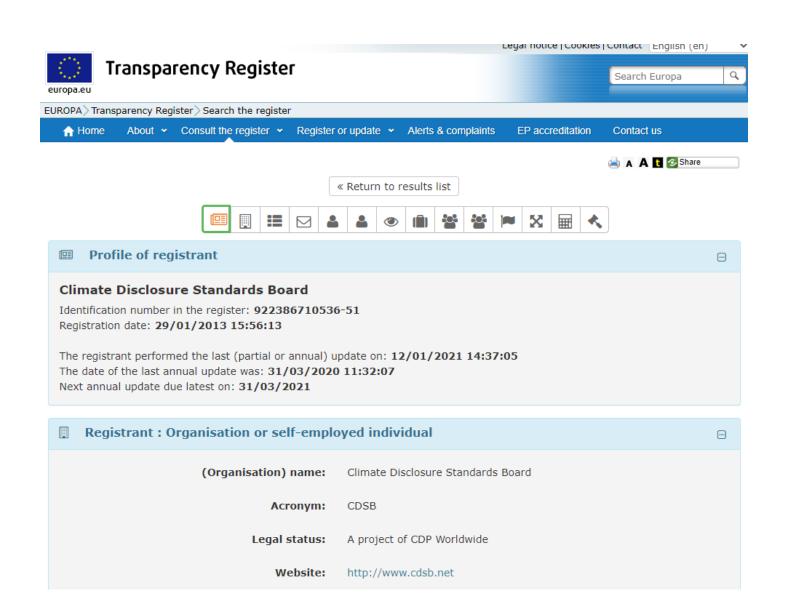
Citizens can, and indeed should, expect the EU decision-making process to be as transparent and open as possible. The more open the process is, the easier it is to ensure balanced representation and avoid undue pressure and illegitimate or privileged access to information or to decision-makers. Transparency is also a key part of encouraging European citizens to participate more actively in the democratic life of the EU.

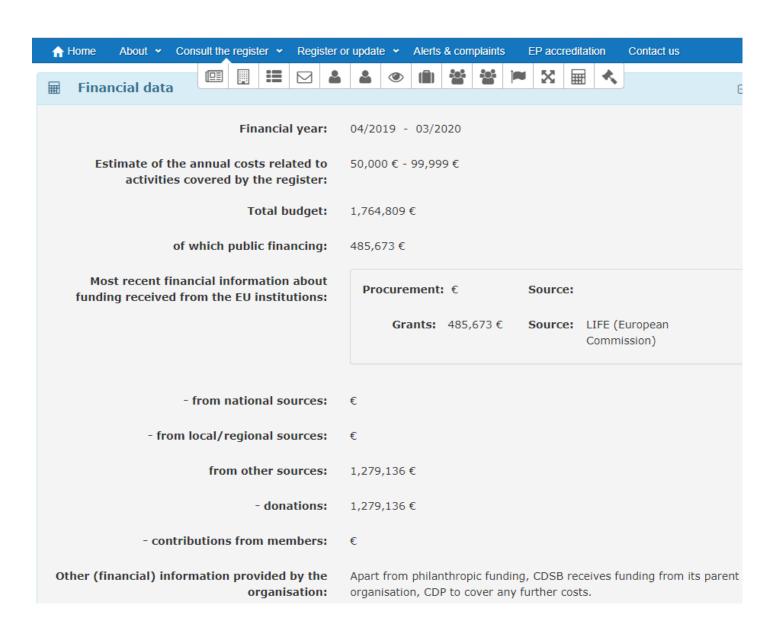
The transparency register has been set up to answer core questions such as what interests are being pursued, by whom and with what budgets. The system is operated jointly by the European Parliament and the European Commission.



Identification number:	▲ (Organisation) name	♦ Section	Registration date:	omice country
832642915261-54	Movimento Italiano in Europa (MIE)	III - Non- governmental organisations	15/12/2014	United Kingdom
26535516539-45	Compassion in World Farming (CIWF)	III - Non- governmental organisations	01/09/2011	United Kingdom
403948218367-36	Bayerischer Jugendring (BJR)	III - Non- governmental organisations	29/07/2015	Germany
688867827027-92	Conselho de Reitores das universidades portuguesas (CRUP)	III - Non- governmental organisations	03/05/2017	Portugal
355642016161-08	CARE France	III - Non- governmental organisations	23/02/2015	France
724424937110-94	Jane Goodall Institute Belgium (JGI Be)	III - Non- governmental organisations	27/01/2020	United Kingdom
22644632329-52	IFAW Internationaler Tierschutz-Fonds gGmbH (IFAW)	III - Non- governmental organisations	25/09/2009	Germany
6809935493-49	Eurogroup for Animals	III - Non- governmental organisations	10/10/2008	Belgium
213754916822-68	ASSOCIATION FEDERATION HANDICAP INTERNATIONAL (HI)	III - Non- governmental organisations	21/04/2015	France
40186682726-13	Gesellschaft zur Rettung der Delphine e.V. (GRDeV)	III - Non- governmental organisations	08/12/2009	Germany









5. Citizen science



Login Into ECAS

Request group membership

#CitizenSData

♠ Home
♠ About

Activity Reports →

Events

, blog

■ Community resources ▼

8 Useful links



Best Practices in Citizen Science for Environmental Monitoring

by Kim De Rijck (DG Environment), Sven Schade (Joint Research Centre), Jose-Miguel Rubio (European Environment Agency) and Marjan Van Meerloo (DG Research and Innovation)



A growing number of citizens worldwide are getting involved in collecting and reporting scientific information and observations about their surroundings through citizen science initiatives. Many of these projects are producing valuable data about environmental phenomena. There is significant potential for public authorities to make more use of this valuable source of knowledge, and for citizen science to have a greater impact on policy, such as the European Green Deal and other EU and global priorities, such as, the United Nations Sustainable Development Goals (SDGs). However, and while there are examples of uptake in some environmental areas (biodiversity, air pollution, waste/litter), obstacles related to data quality and complexity of data





Welcome to EUNIS, the European Nature Information System Find species, habitat types and protected sites across Europe

Species Search
Information about species in Europe, particularly species mentioned in legal texts Search tools





Citizen science projects on air quality produce useful information and raise public awareness

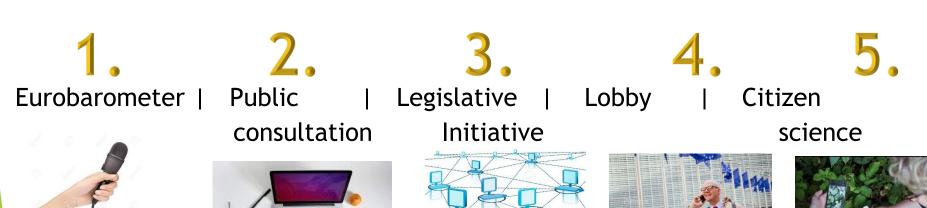
Air pollution is the biggest environmental health threat in Europe and more and more people are taking action to claim their right to clean air. A new European Environment Agency (EEA) report provides an overview of low-cost devices that citizens and NGOs can use to measure local air quality.

Published 12 Mar 2020 — Last modified 08 Jun 2020 — 2 min read — Photo: © Norwegian Institute for Air Research



How can citizens & NGOs participate in European environmental decision-making?

Multifaceted Public Participation



Questions

How can citizens and NGOs participate in European Environmental Decision-making?



Panel Discussion

How Can Public Participation in European Environmental Decision-making Be Strengthened?



Electronic Public Participation on EU Level



Dr Maria Alexandra de Sousa Aragão

Professor at University of Coimbra, Portugal



Rebecca Humphries

Senior Public Affairs
Officer at WWF
European Policy
Office (EPO), Belgium



Dr Raphael Weyland

Head of Brussels Office at NABU, in association with BirdLife Europe, Belgium



Anna Renkamp

Senior Project Manager at Bertelsmann Stiftung, Germany





Dr Maria Alexandra de Sousa Aragão

Professor at University of Coimbra

- Citizen participation in the EU different opportunities, more or less time and resource consuming, more or less confrontational, more or less transformative.
- 2. Effective participation in EU environmental policy choosing the right trigger, the right level and purpose of participation.
- 3. Responsible participation in the EU many different ways, one single purpose: ensuring that *no futile, short term, economic interests overrule* the major goal of effective environmental protection



- The EU's Better Regulation rules, including for public consultations are due to be updated, but the communication announcing the changes has been repeatedly delayed over the past year.
- The way public consultations are formulated should not give the impression the Commission has already decided a course of action and is simply using the consultation to validate it.
- It is important to improve the transparency around which stakeholder views expressed through consultations have been followed and taken into account when developing policy proposals (→ increase citizen confidence in the process and encourage continued engagement)



Rebecca Humphries

Senior Public Affairs

Officer at WWF European

Policy Office (EPO)





Dr Raphael Weyland

Head of Brussels Office at NABU, in association with BirdLife Europe

- European Commission: we have to acknowledge that quite some participation is already now granted. The Commission should still improve on how they consult, and for sure should avoid ignoring the responses.
- 2. European Council is a big blackbox. Letting citizens know what is happening is a crucial first step. The European Council hence should improve transparency, also for trilogs!
- 3. Citizens: For citizens participation can be painful and time-consuming. But if we ask for participation we should engage, and communicate about positive outcome from EU decision making. European Green Deal is a good example!





Anna Renkamp
Senior Project Manager
Bertelsmann Stiftung

- Good to have for openness and transparency. The instrument Public Consultation works fairly well for the stakeholders, but not for the citizens. There is still a lot of work to be done on the instrument.
- 2. Visibility is low and accessibility is limited. Citizens generally do not know the tool exists. The instrument isn't ready for the greater public yet. Normal citizens are not considered. Participation is selective. This results in a biased outcome.
- **3. No follow-up transparency.** There is no timely feedback on the consultation results and a lack of information when it comes to the decision-making process.



Relevant Online Public Consultations by the European Commission

- <u>"2030 Climate Target Plan"</u> consultation Feedback period 31 March 2020 23 June 2020;
- <u>"Proposal for a[n amended Aarhus] regulation COM(2020)642"</u> consultation Feedback period 15 October till 10 December 2020;
- "Modernising and simplifying the Common Agricultural Policy [CAP]" consultation Feedback period 2 February 2017 till 2 May 2017;
- "Amendment of the EU Emissions Trading System (Directive 2003/87/EC)" consultation
 Feedback period 29 October 2020 till 26 November 2020; and
- "Amendment of the Land Use, Land Use Change and Forestry Regulation (EU)
 2018/841" consultation Feedback period 29 October 2020 till 26 November 2020.
- No Public Consultation:
- Overarching policies of EU's post-2020 Multiannual Financial Framework (MFF).



Open Discussion

Strengthened E-Participation in European Environmental Decision-making – Where and how to complain?



Where and how to complain?

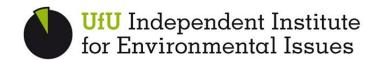
Dr Maria Alexandra de Sousa Aragão,

Professor at University of Coimbra, Portugal



Strengthened E-Participation in European environmental decision-making: where & how to complain? Alexandra Aragão





Participation opportunities for citizens and ENGOs

- Complaining,
- Bringing charges against, and
- Challenging in court...

... is also 'participating'

From softer to harder From internal to external



1. 2. 3a). 4. 5.

Ombuds(wo)man| Parliament | Commission | Court of Justice | International organisations

3b).
Member State

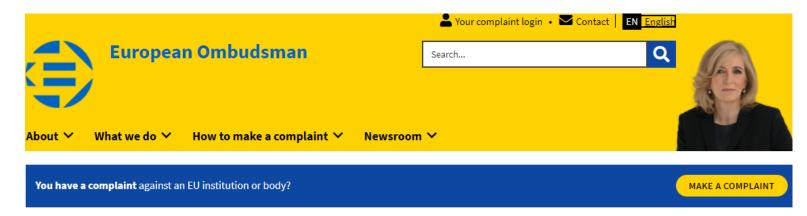
1. Complaining to Ombuds(wo)man



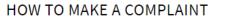




1 At EU level, reacting against EU institutions



Home > Make a complaint



How can the Ombudsman help?

Checklist for a complaint

How do we deal with complaints?

Make a complaint

Who else can help you?

Guide to complaints

You can submit a complaint to the European Ombudsman online, by post or by fax.

Submit your complaint online

Online complaints can be submitted in two easy steps:

1 Check the criteria Go through our <u>checklist for making a complaint</u> and make sure that the European

Ombudsman can investigate your complaint

2 Create an account

Creating an account will allow you to submit a complaint, to continue drafting a

complaint you have not already submitted or to check the status of your complaint



https://www.ombudsman.europa.eu/pdf/en/3454



European Ombudsman

Complaint about maladministration

Please read the section entitled 'How to complain' before filling out this complaint form.

Please continue on a separate sheet if necessary and enclose all the documents necessary to support your complaint.

First name:
Surname:
On behalf of (if applicable):
Address line 1:
Address line 2:
Town/City:
Postcode:
Country:
Nationality:
Tel.:
E-mail:

2

Against which European Union (EU) institution or body do you wish to complain?

- · European Parliament
- Council of the European Union
- European Commission
- Court of Justice of the European Union (*)
- European Court of Auditors
- European Economic and Social Committee
- · Committee of the Regions of the European Union

- European Investment Bank
- European Central Bank
- European Personnel Selection Office (EPSO)
- European Anti-Fraud Office (OLAF)
- · European Police Office (Europol)
- · Other Union body (please specify)

What is the decision or matter about which you complain? When did you become aware of it?

4

What do you consider that the EU institution or body has done wrong?

5

What, in your view, should the institution or body do to put things right?

6

Have you already contacted the EU institution or body concerned in order to obtain redress?

This is a mandatory condition for a complaint to be admissible. Evidence that you have contacted the relevant institution or body to seek redress must be annexed to the complaint form. Otherwise, you will be informed that we cannot deal with your complaint.

Yes (please specify)

No

	J institutions and bodies: have you used all the possibilities ided for in the Staff Regulations? If so, have the time limits for
 Yes (please specify) 	• No
Has the object of your complaint already been settled by	a court or is it pending before a court?
 Yes (please specify) 	• No
Do you agree that your complaint may be passed on to a European Ombudsman decides that he is not entitled to	another institution or body (European or national), if the
• Yes	• No

Information note on data processing and confidentiality

Data processing

Date:

Complaints to the Ombudsman and related correspondence often contain personal data, such as names, contact details and other information relating to identifiable individuals.

There are rights and obligations under European law (Regulation 2018/1725) as to how personal data is handled by EU institutions, including the European Ombudsman. These include an individual's right to obtain access to his or her own information held by this Office. To exercise these rights or to find out more, please contact our Office or our Data Protection Officer.

If a person considers that the Ombudsman has not handled his or her personal data properly, he or she may contact the European Data Protection Supervisor.

Confidentiality of your complaint and information

Complainants are requested to identify clearly any document or information that they consider to be confidential immediately on sending it to the Ombudsman.

Confidentiality can only apply if there would be some adverse effect if the information were to be disclosed. It might, for example, apply to financial information, commercially sensitive information or personal information about a private individual. Confidentiality cannot always be guaranteed. In particular, if you culput to the Ombursman documents that contain the personal data of someone other than yourself, that person will most likely



2. Petition the European Parliament

2 At EU level, petition to the EP





European Parliament

https://www.europarl.europa.eu/petitions/en/show-petitions

Search results 12

Results per page 10 | 20 | 50 | 100

Petition No 0229/2020 by Kristina T'felt (Danish), on behalf of 'Collectif des citoyens européens du narbonnais', on the right of every citizen living near to the Orano Malvési site to live in a healthy environment

AVAILABLE TO SUPPORTERS

... and foreign customers. A stockpile of contaminated waste (400 000 m3) is classified as a Basic Nuclear Facility (BNF). The site discharges chemical and climate pollutants into the **environment**, including into the Canal de la Robine (UNESCO heritage site), which flows into the lagoon at the Étang de Bages-Sigean, a Natura 2000 protected area. When in 2004, a dyke broke releasing ...

Petition No 0779/2020 by P.O.S. (Spanish), on behalf of Ecologistas en Acción, on management of health waste and the COVID-19 crisis in the EU

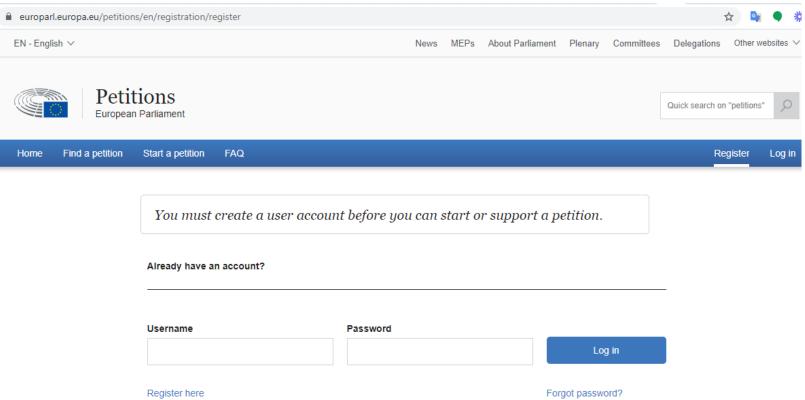
AVAILABLE TO SUPPORTERS

The petitioner complains that, as a result of the COVID-19 crisis, homes are now overrun with single-use healthcare products used as safeguards against infection. Some **environment** specialists are warning of the risks posed by the huge increase in, and poor management of, healthcare waste. The petitioner points out that thousands of used masks and gloves are ...

Petition No 1155/2020 by J.B. (Polish), on behalf of Municipal Office of Wiązowna, on constructing noise barriers on the motorway

... European Commission to check if the EU funds allocated to the construction of motorway S17 at the section of Wiazowna municipality are spent in line with EU legislation concerning environment protection in the area of noise

https://www.europarl.europa.eu/petitions/en/registration/register





3^a). Complaining to European Commission

3 At EU level, complaining against Member States





Home > ... > Contact > Problems and complaints > Complaints about breaches of EU law > How to make a complaint at EU level

How to make a complaint at EU level

PAGE CONTENTS

Resources at EU level

Administrative steps to submit a complaint to the European Commission

Submitting a complaint online

Acknowledgements of receipt of multiple complaints

Resources at EU level

Although you will usually be able to enforce your rights better in the country where you live, the European Union offers resources that may also be able to help you.

The Committee on Petitions of the European Parliament

You have the right (<u>Article 227 TFEU</u> <u>?</u>) to submit a petition to the European Parliament about the application of Union law. You may submit your petition by post or online via the <u>European Parliament's website</u> <u>?</u>. You can find out more about petitions to the European Parliament on the <u>EU citizenship and free movement website</u>.

The European Commission

You can contact the European Commission about any measure (law, regulation or administrative action), absence of measure or practice by a country of the European Union that you think is against Union law.



https://ec.europa.eu/assets/sg/report-a-breach/complaints_en/

2/10 2. Information about the complainant I'm submitting this complaint on behalf of...* myself O somebody else Your details Language: * Businesses or organisation: English Street and number: * Title: * First name: * Postcode: * Postcode Last name: * Town: * Town Country: * E-mail: * Please select... e-Mail Confirm e-mail: ★ Telephone:

Telephone

« Back

Next »

Complaint form for breach of EU law

3. Details of the authority or body you are complaining about

Name of the authority: *	Street and number:	
Name of the authority	Street and number	
Contact person:	Postcode:	
Contact person	Postcode	
E-mail:	Town:	
e-Mail	Town	
Telephone:	Country: *	
Telephone	Please select	~
		" Dook Novt "

Complaint form for breach of EU law

4/10

4. National measures suspected to infringe Union law

Please list the specific national measures (national law or other regulatory or administrative measures) you think are in breach of EU law and specify how they breach the EU law.

National measures suspected to infringe Union law: *				

EU law you think has been breached

EU laws (e.g. Treaties, regulations, directives, decisions) or principles underpinning EU law.

If you're not sure which EU law is involved, you can contact Your Europe Advice.

If you know it, please specify which EU law is concerned:

5/10		
5. Problem description		
Please describe the problem (Describe the problem max of 7000 characters): *		
Once the Manubay State agreemed receive (or could it receive in future). Ell founding relation to the cubicat of very complaints		
Does the Member State concerned receive (or could it receive in future) EU funding relating to the subject of your complaint? *		
○ Yes ○ No ○ I don't know		
December 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1		
Does your complaint relate to a breach of the EU Charter of Fundamental Rights? ★		
The Commission can only investigate fundamental rights breaches that occur when the Member States are implementing EU law .		
If the Member States are acting on the basis of national law only, the Commission cannot intervene.		
○ Yes ○ No ○ I don't know		
	« Back	Next »

Complaint form for breach of EU law

6/10

6. Supporting documents



Please list any supporting documents / evidence, which you could send to the Commission if requested.

Note that you can't attach any documents at this stage, but we may contact you to send us the documents if required.

List of documents:		

« Back

Next »

Have you already taker	action in the Member \$	State concerned to try	to solve this problem?
------------------------	-------------------------	------------------------	------------------------

○Yes ● No	
------------------	--

Why didn't you take any action to tackle your problem in the Member State concerned? *



Please note

Please consider taking action in the Member State concerned. You will be able to assert your rights directly and personally by using the available national channels for complaining.

☐ Another case on the same issue is pending before a national or EU court
☐ No remedy is available for the problem
☐ The remedy exists, but is too costly
☐ Time limit for action has expired
\square No legal standing (you have no legal grounds to bring an action before the court)
□ No legal aid / no lawyer
\Box I do not know which remedies are available for the problem
☐ Other (please specify)

7. Previous attempts to solve the problem

Please note

The only way you can directly resolve your personal situation, or be awarded compensation, is to take action in the Member State concerned. The formal infringement procedure initiated by the Commission will not do this.

Have you already taken action in the Member State concerned to try to solve this problem? ★ ○ Yes ○ No		
Have you already contacted any of the following EU institutions or services dealing with problems of this nati	ure?	
☐ Petition to the European Parliament (<u>more information</u>)		
□ European Ombudsman (more information)		
□ European Commission (more information)		
□ SOLVIT (more information)		
☐ Other (please specify)		
Are you aware of any action in the Member State concerned covering the issue you raise in this complaint?		
○ Yes ○ No		
	« Back	Next »



3b). Complaining at Member State level

EU Whistleblower Directive

26.11.2019

EN

Official Journal of the European Union

L 305/17

DIRECTIVE (EU) 2019/1937 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 23 October 2019

on the protection of persons who report breaches of Union law

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 16, Article 43(2), Article 50, Article 53(1), Articles 91, 100, and 114, Article 168(4), Article 169, Article 192(1) and Article 325(4) thereof and to the Treaty establishing the European Atomic Energy Community, and in particular Article 31 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

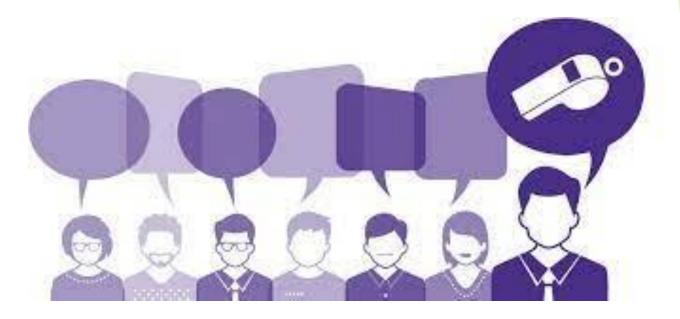
Having regard to the opinion of the Court of Auditors (1),

Having regard to the opinion of the European Economic and Social Committee (2),

After consulting the Committee of the Regions,

Protection of persons who report breaches of environmental law (Transposition: 17 December 2021)

- Protection of persons against retaliation
- ► Internal reporting channels (17 December 2023)





4. Access to justice

4 Access to justice at the EU level



https://curia.europa.eu/jcms/upload/docs/application/pdf/2018-11/tra-doc-en-div-t-0000-2<mark>018-201809528-</mark>

05_00.pdf



OBILL CЪД HA EBPOIIEЙСКИЯ СЪЮЗ
TRIBUNAL GENERAL DE LA UNIÓN EUROPEA
TRIBUNÁL EVROPSKÉ UNIE
DEN EUROPÆISKE UNIONS RET
GERICHT DER EUROPÄISCHEN UNION
EUROOPA LIIDU ÜLDKOHUS
ΓΕΝΙΚΟ ΔΙΚΑΣΤΗΡΙΟ ΤΗΣ ΕΥΡΩΠΑΪΚΗΣ ΕΝΩΣΗΣ
GENERAL COURT OF THE EUROPEAN UNION
TRIBUNAL DE L'UNION EUROPÉENNE
CÚIRT GHINEARÁLTA AN AONTAIS EORPAIGH
OPĆI SUD EUROPSKE UNIJE
TRIBUNALE DELL'UNIONE EUROPEA

EIROPAS SAVIENĪBAS VISPĀRĒJĀ TIESA
EUROPOS SĄJUNGOS BENDRASIS TEISMAS
AZ EURÓPAI UNIÓ TÖRVÉNYSZÉKE
IL-QORTI ĠENERALI TAL-UNJONI EWROPEA
GERECHT VAN DE EUROPESE UNIE
SĄD UNII EUROPEJSKIEJ
TRIBUNAL GERAL DA UNIÃO EUROPEIA
TRIBUNALUL UNIUNII EUROPENE
VŠEOBECNÝ SÚD EURÓPSKEJ ÚNIE
SPLOŠNO SODIŠČE EVROPSKE UNIJE
EUROOPAN UNIONIN YLEINEN TUOMIOISTUIN
EUROPEISKA UNIONENS TRIBUNAL

AIDE-MÉMOIRE – APPLICATION 1

GENERAL INFORMATION

- Address of the e-Curia application: https://curia.europa.eu/e-Curia
- Preparation of the application: the text of the application, including the schedule of annexes, can be saved in PDF format directly from word-processing software, without the need for scanning.

Address of the e-Curia application: https://curia.europa.eu/e-Curia

Presentation of the application

Presentation of pages: A4 format
Text: in a commonly-used font (such as Times New Roman, Courier or Arial) in at least 12 point, with single line spacing, and upper, lower, left and right margins of at least 2.5 cm
Footnotes: in a commonly-used font (such as Times New Roman, Courier or Arial) in at least 10 point, with single line spacing. The main purpose of a footnote is to include references to documents cited in the application. It is not the purpose of a footnote to develop the pleas in law or arguments put forward by the applicant in the application.
Page numbering: the pages of the application must be numbered consecutively in ascending order
Paragraph numbering: paragraphs must be numbered consecutively in ascending order
Maximum number of pages: 50 pages for the application in a direct action other than an action brought pursuant to Article 270 TFEU; 30 pages for the application in an action brought pursuant to Article 270 TFEU; 20 pages for the application in an intellectual property case

CONTENT OF THE APPLICATION

Title of the pleading
Identity of the applicant(s): name(s) and address(es) of the applicant(s)
Identity of the representative(s): name(s) – status – address
Identity of the defendant: For direct actions: specify the defendant institution, body, office or agency or, if the action is based on an arbitration clause, the natural or legal person, as the case may be
Structure of the application
Subject matter of the dispute: type of action, basis, brief account of the facts and legal context
Legal arguments set out and grouped by reference to the pleas in law to which they relate (admissibility and substance) with a heading for each plea in law put forward
Form of order sought: precise wording thereof (at the beginning or at the end of the application)

MANDATORY ANNEXES

Any lawyer representing a party or assisting an agent must produce a certificate that he is authorised to practise before a court of a Member State or of another State which is a party to the Agreement on the European Economic Area (reference may be made to a document previously lodged in a case before the Court)
If the applicant is a legal person governed by private law, the lawyer must \underline{in} addition produce:
 recent proof of the existence in law of that legal person (extract from the register of companies, firms or associations or any other official document) and
an authority to act
The representative must produce one of the following, as appropriate:
• the measure annulment of which is sought (action for annulment), including where that measure has been published in the Official Journal of the European Union
or
• documentary evidence of the date on which the institution was requested to act (action for failure to act)
or
 the complaint within the meaning of Article 90(2) of the Staff Regulations and the decision responding to that complaint (action brought pursuant to Article 270 TFEU)
or
• the contract containing the arbitration clause establishing the Court's jurisdiction

(action brought under an arbitration clause)

SUMMARY OF THE PLEAS IN LAW AND MAIN ARGUMENTS

For all cases, except for intellectual property cases, the representative must lodge a summary of the pleas in law and main arguments to facilitate the drafting of the notice in the Official Journal of the European Union.

It is requested that the summary:

- be produced separately from the body of the application and the annexes mentioned in the application;
- not exceed two pages;
- be prepared in the language of the case;
- accord with the model available online on the internet site of the Court of Justice of the European Union under 'General Court/Procedure';
- be transmitted by email, as an ordinary electronic file produced using wordprocessing software, to <u>GC.Registry@curia.europa.eu</u>, with an indication of the case concerned.



5. International organisations

Guide to the Aarhus Convention Compliance Committee





- Format for communications to the Aarhus Convention Compliance Committee
- Important note:
- ▶ The communication should be no more than 6,000 words (ten A4 pages). If in an exceptionally complex case more than ten pages are required, in no circumstances should the communication be longer than 12,000 words (twenty A4 pages). The paragraphs of the communication should be numbered and a list of annexes provided at the end.
- ▶ I. Information on correspondent submitting the communication
- ► [Full name of organization or person(s) submitting the communication
- ► Permanent address | Address for correspondence on this matter, if different from permanent address | Telephone | Email
- If the communication is made by a group of persons, provide the above information for each person and indicate one contact person.
- If the communication is submitted by an organization, provide the following information for the contact person authorized to represent the organization in connection with this communication:

- Name
- Title/Position Telephone
- ► Email]
- _II. Party concerned
- [Name of the Party concerned by the communication]
- III. Facts of the communication
- ▶ [Detail the facts and circumstances of the alleged non-compliance. Include all matters of relevance to the assessment and consideration of your communication. Explain how you consider that the facts and circumstances described represent a lack of compliance with the provisions the Convention.]
- ▶ IV. Provisions of the Convention with which non-compliance is alleged
- ► [List as precisely as possible the provisions (articles, paragraphs, subparagraphs) of the Convention that you allege the Party concerned has not complied with.]

▶ V. Nature of alleged non-compliance

- ► [For each of the provisions with which you allege non-compliance, clearly explain how you consider that the Party concerned has failed to comply with that provision based on the facts of your case. (Provide as attachments to your communication the key supporting documentation that will help to substantiate your allegations).]
- ▶ [Also indicate whether the communication concerns a specific case of a person's rights of access to information, public participation or access to justice being violated as a result of the alleged non-compliance of the Party concerned, or whether it relates to a general failure by the Party concerned to implement, or to implement correctly, the provisions of the Convention. If you consider that the non-compliance concerns a general failure by the Party concerned, provide as attachments to your communication any key supporting documentation that will help to substantiate that it is a general failure.]

▶ VI. Use of domestic remedies

- ▶ [Describe which, if any, domestic remedies have been invoked to address the particular matter of non-compliance which is the subject of the communication. Specify which domestic remedies were used, when they were used, what claims were made, what the results were and whether there are any other domestic remedies available.]
- ► [If no domestic remedies have been invoked or if there are other domestic remedies available, explain why they have not been used. This information will be important for the Compliance Committee's decision on admissibility of the case.]
- VII. Use of other international procedures
- ► [Indicate if any international procedures besides the Aarhus Convention Compliance Committee have been invoked to address the issue of noncompliance which is the subject of the communication. If so, specify which procedures were used, when they were used, what claims were made and what the results were.]
- VIII. Confidentiality

Other international conventions with a possibility to file complaints

Bern Convention



COUNCIL OF EUROPE

Convention on the Conservation of European Wildlife and **Natural Habitats**

Newsroom

About Monitoring ▼ Standard-setting ▼

European Diploma 🔻

Meetings ▼

Resources -

Emerald Network -

You are here: Democracy > Bern Convention > Monitoring



Case-files

THE CASE-FILE SYSTEM



The case-file system, dating back to 1984, is a unique monitoring tool based on complaints for possible breaches of the Convention that can be submitted by NGOs or even private citizens. The complaints so received are processed by the Secretariat, the Bureau and, when particularly relevant, also by the Standing Committee, according to their merits and on the basis of the information submitted. When the Standing Committee or its Bureau considers that further information is needed, they can arrange for onthe-spot visits by independent experts, who report to the Standing

Committee.

The case-file system is also unusual as it is not based on any provisions within the Convention, but stems from a decision taken by the Standing Committee itself and has proven to be a very successful problem solving instrument.

On-line complaint form

2 Admissibility of complaints related to species listed in Appendix III: the badger (meles meles) as a model - Guidance for complainants - T-PVS/Files(2014)38E

Register of Bern Convention Case-Files - T-PVS/Inf(2021)05E













CITES Compliance procedures

CITES is known as a Convention with effective compliance processes. 'Compliance' in the context of CITES means to act in accordance with and in fulfilment of the Convention requirements – legal as well as scientific. Compliance or 'abide by the rules' is the primary responsibility of the Parties and a core business of the Convention. Parties are bound by the Convention and must have an administrative and regulatory system in place to ensure that the Convention achieves its objectives of conservation and sustainable use. When Parties fail to effectively fulfil the Convention requirements, they may become subject to one or more compliance measures, including trade suspensions. The costs of non-compliance can be high for the survival of entire species populations.



Provisions of the Convention

· Convention Article XIII

Resolutions/Decisions

- · Resolution Conf. 14.3 (Rev. CoP18)
- · Resolution Conf. 11.3 (Rev. CoP18)
- Decisions 18.68-18.70
- Decision 18.74

CITES approach to compliance

CITES' approach towards compliance matters is "supportive and non-adversarial" with the aim of ensuring long-term compliance. Resolution Conf. 14.3 (Rev. CoP18) contains, in its Annex, a Guide to CITES compliance procedures to assist CITES bodies in dealing with compliance matters. There are four steps for handling compliance matters in a diligent manner:

- a) identification of potential compliance matters;
- b) consideration of compliance matters;
- c) measures to achieve compliance; and

Compliance Assistance Programme

At CoP18, the Parties established a new Compliance Assistance Programme (CAP) aimed at providing targeted support to Parties facing persistent compliance challenges. If a Party is facing compliance challenges, it is invited to approach the CITES Secretariat for advice and guidance.

More information about the CAP available here.



The Way Forward

Kathleen Pauleweit, LLM, Research associate at the Department Environmental Law & Participation of the Independent Institute for Environmental Issues – UfU e.V.



Next Steps

April-July 21

Drafting & Publication Discussion Paper On Public Participation

8 Sept. 21 **5**th **Aarhus Workshop**







Preparation 5th Aarhus Workshop with Slovenian Partners



Important Dates

EU Council Presidency	2020	Events	Location
Croatia	11 May	1 st Aarhus Workshop	virtual
Grualia	30 June	2 nd Aarhus Workshop	virtual
Germany	17 Nov.	3 rd Aarhus Workshop	virtual
	2021		
	24 March	4 th Aarhus Workshop	virtual
	25 March (provisional)	"Declaration of legal professionals to implement the ACCC advice" Contact to sign: Sebastian Bechtel; SBechtel@clientearth.org	/
5	31 March	Council Working Group Discussion	virtual
Portugal	15 April (provisional)	ENVI Committee of the European Parliament (one month later Plenary vote)	virtual
	3 May 7-8 June	25 th Aarhus Working Group	virtual/ Hybrid
	21 June	EU Environment Council	Luxembourg
	Summer	Adoption of the Aarhus Regulation Proposal	1
Slovenia	8 Sept.	5 th Aarhus Workshop	virtual
	17-21 Oct.	7 th Aarhus Meeting of the Parties (MoP)	Geneva



Contact

Kathleen Pauleweit, LLM

kathleen.pauleweit@ufu.de

Independent Institute for Environmental Issues e.V. – UfU –

Department Environmental Law & Participation

Greifswalder Str. 4

DE-10405 Berlin

